Bruce Benward & Marilyn Saker

Music

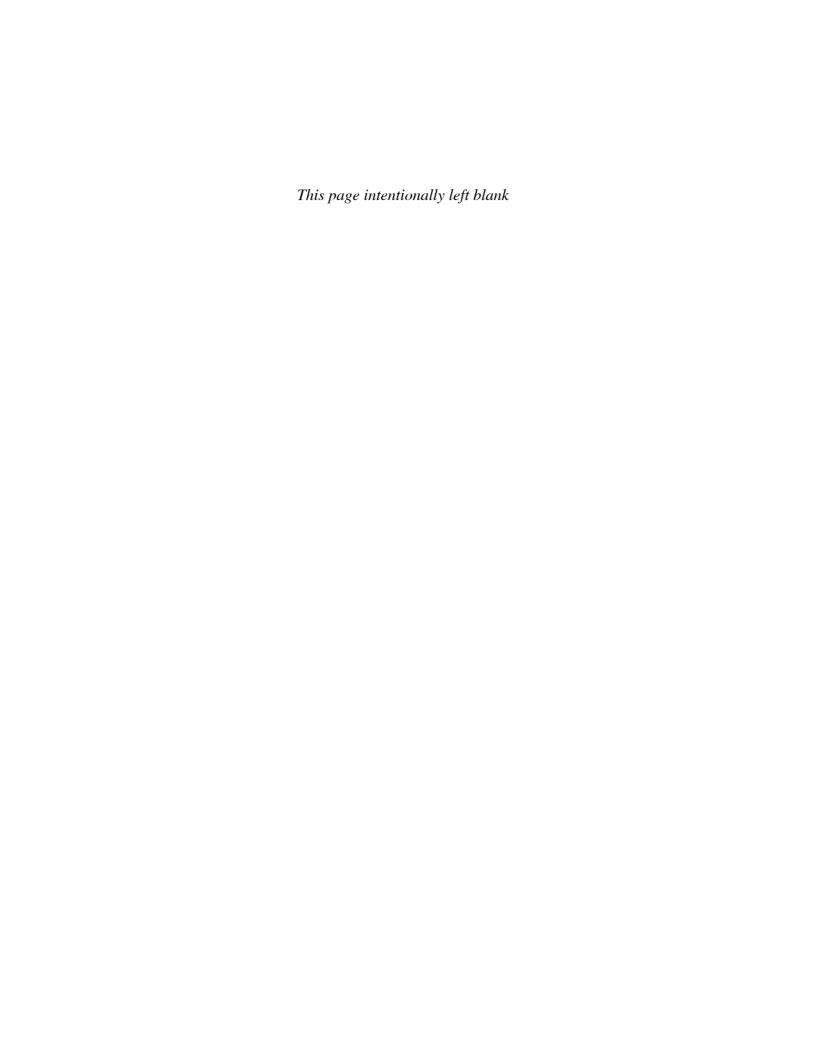
IN THEORY AND PRACTICE

Ninth Edition ♥ VOLUME I



IN THEORY AND PRACTICE

VOLUME I





IN THEORY AND PRACTICE

VOLUME I

Ninth Edition

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MUSIC IN THEORY AND PRACTICE, VOLUME I, NINTH EDITION

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Preface

To the Student

Before you begin your study of music theory, we would like to discuss the subject in general and explain what you may expect to gain from its study. You have probably had little previous experience in music theory, and you may be wondering why you should occupy your time with it.

If you are typical of most young musicians beginning a serious study of your art, you already play an instrument or sing well, but you are interested in acquiring further technical skills and interpretive insights. You probably have been a performer for some years and have had success in public concerts either as a soloist or as part of a group (band, orchestra, or chorus). From these experiences you have developed a keen musical intuition and want to strengthen it further. Your musical intuition includes a vast storehouse of familiar sounds, established patterns of melody, harmony, and rhythm, and an artistic consciousness that you draw upon thousands of times in the performance of a single composition, without conscious remembering or reasoning. You make split-second decisions about the phrasing of a melody, the application of dynamics (indications of loud and soft), and the tempo of the music you play. Your musical intuition has become a part of you through your experience and, indeed, is one of the most valuable gifts in your possession.

The study of music theory interacts with intuition—honing, sharpening, and enhancing it with further insights and perceptions. Much of what you learn from this book will at first seem to be simply surface information, but that information will eventually amplify and broaden your musical intuition.

This book is essentially a study of patterns in music. It looks at music literature as highly organized tonal designs. With few exceptions, the terms we employ are in common use, and many of the procedures we use in analysis and composition are standard practice. The conclusions we reach, however, may differ at times from your judgments or from those of your professor. As long as your analysis is backed by logical reasoning and is a true assessment of the sounds you hear, such differences of opinion are healthy and are positive indications that you are developing your own convictions—certainly one of the objectives of the course.

Included in the book are a large number of musical examples. Each one illustrates a point we make in the text, so it is critical that you study the musical examples and, if possible, play them on the piano. Descriptions and definitions are often explained better through music illustrations than by long, involved written explanations, so our narrative material is rather short and to the point. It is vital that you experience musically the materials in this course. It does not suffice simply to know terms—you must go one step further and make these terms and ideas a familiar and practical part of your entire approach to music.

There are three types of assignments, and each has its specific purpose:

- 1. Concentrated drill on a particular musical pattern or patterns. Many patterns do not occur in sufficient quantity in a single composition to give you enough practice in identifying them, so these drills contain patterns extracted from their musical setting to let you work on a large variety in a shorter space of time.
- 2. A search for patterns and relationships in a music composition. This exploration inspects multiple aspects of a work and seeks those components that create musical style. You will gain skill in analysis, of critical importance to all musicians.
- 3. *Composition*. Learning to manipulate musical devices successfully in a composition is the most important goal of this text.

Summary

- 1. Your musical intuition is a valuable asset. Use it often.
- 2. A study of musical theory makes you think consciously about the patterns in music.
- 3. The study of music theory will enhance and reinforce your musical intuition.
- 4. Although terms and procedures are objective, conclusions in the analysis of music are often subjective, and thus differing viewpoints should be expected and accepted.
- 5. The music illustrations are even more important than textual material. Study the illustrations at least as diligently as the written material.
- 6. Application of terms and concepts to actual musical situations is of the utmost importance. The memorizing of definitions is in itself of little significance.
- 7. In the world of music the highest premiums go to those with the most perceptive, imaginative, and creative minds. Creativity combined with a thorough knowledge of music is the best guarantee for a successful career in music.

To the Instructor

In the words of the composer George Crumb, "Music might be defined as a system of proportions in the service of a spiritual impulse." In the same vein, music theory might be defined as the study of the artful designs, ingenious proportions, and inventive patterns in music that are transformed by the mind into aesthetic experience. The purpose of this two-volume text is to present the basic ingredients of the art of music so that structure, design, and language are made clear and accessible to the student examining the array of tonal configurations found in music literature. The text provides a basis for the integration of the following skills and knowledge, which are important in any undergraduate theory program:

Analysis Skills	The ability to discern the design, proportions, and patterns
	of music.

Historical Perspective An understanding of the rich heritage of the past and the styles of music that evolved during the different periods of musical writing.

Compositional Skills

A "Seeing" Ear

s Insight into the ways in which music is put together and into the forms, elements, and resolutions required of the composer. The ability to hear music and determine the nature of the musical devices, the melody, the harmony, the rhythm, and the form. Although this book does not address itself specifically to the topic, the professor may utilize materials from it for this purpose. Additional material may be found in *Ear Training: A Technique for Listening* by Bruce Benward and J. Timothy Kolosick.

A "Hearing" Eye

The ability to look at music and determine from sight alone how it will sound. Additional material to develop this skill may be found in *Sight Singing Complete* by Maureen Carr and Bruce Benward.

Performance

This book does not address itself specifically to performance; however, it provides ample opportunity for the developing musician to improve performance skills while gaining analytical, historical, and compositional perspectives.

Features

Although this text is written from a traditional point of view, the following features distinguish it from some other books in music theory:

- 1. No previous knowledge of music theory is required; however, the ability to read music and play an instrument or sing is assumed.
- 2. The fundamentals of music are thoroughly presented.
- 3. Two-part and four-part voice leading and harmonization are considered important priorities.
- 4. A thorough study of melody, rhythm, and texture is included. In this way, the authors hope to present a more balanced view of the structure of music than those books that concentrate only on harmony and voice leading.

- 5. The text offers a historical perspective. Each chapter includes a short section labeled "History" which relates the topic at hand to the history of music. A brief overview of music history and its relation to European and American history is included in Appendix F.
- 6. Music from the Renaissance to the contemporary period is examined in both volumes.
- 7. The text integrates a study of jazz and popular music, which is indigenous to American culture, into the traditional study of European art music.
- 8. Specific compositions are studied. The text continually directs attention to the musical examples and encourages class discussion of them.
- 9. The in-class composition and performance of music is encouraged. Many of the assignments are designed to promote student interest in developing composition skills.
- 10. The two volumes provide a complete basis for the study of music theory. Volume 1 is usually completed in the first year of instruction and volume 2 in later courses.
- 11. The chapters may be studied in the order preferred by the instructor. Some recommendations for reorganization are listed in the instructor's manuals that accompany the two volumes.
- 12. An outline format is maintained throughout the two volumes. This format ensures conciseness, efficiency, and ease in locating specific topics.

The ninth edition of Music in Theory and Practice includes the following changes:

- 1. The placement of musical examples has been revised to eliminate as many awkward page turns and cross-references as possible.
- 2. The chapter on voice leading in chorale writing has been amended to include musical examples that demonstrate stylistic practices within the context of an entire phrase.
- 3. A series of pedagogical "practice" instructions have been added to guide students through their initial efforts understanding concepts.
- 4. Several new full-length compositions have been added to allow students additional opportunity to study complete works rather than isolated sections of a composition.

This two-volume series is a part of a carefully integrated package. The following texts and ancillaries are available for the ninth edition:

For students and instructors:

Music in Theory and Practice, Volume 1 *Music in Theory and Practice*, Volume 2

Workbook to Accompany *Music in Theory and Practice*, Volume 1 Workbook to Accompany *Music in Theory and Practice*, Volume 2

Online Learning Center at www.mhhe.com/mtp9

For instructors:

Instructor's Manual to Accompany *Music in Theory and Practice*, Volume 1 Instructor's Manual to Accompany *Music in Theory and Practice*, Volume 2 Workbook Solutions Manual to Accompany *Music in Theory and Practice*, Volume 1 Workbook Solutions Manual to Accompany *Music in Theory and Practice*, Volume 2 Online Learning Center at www.mhhe.com/mtp9

Resources available from the Online Learning Center include printable versions of the Instructor's Manuals and Workbook Solutions Manuals, assignment templates compatible with Finale® music notation software, supplementary drill assignments, testing materials, and recordings. Audio Examples posted online for this edition of *Music in Theory and Practice* are identified throughout the texts and workbooks with the following graphic:

New to this Edition

Texts and Supplements

Acknowledgments

It is with deep appreciation and sincere gratitude that I thank Chris Freitag for his numerous important contributions to *Music in Theory and Practice*. His many years of work on behalf of the text, along with his always wise and patient counsel, made a significant impact that will never be forgotten.

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Denise Root Pierce, Eastern Michigan University
Lauren Shackelford, The Master's College

I would be remiss not to acknowledge the life's work of my brilliant mentor and kind-hearted coauthor, Bruce Benward. Simply put, without Bruce Benward, there would be no *Music in Theory and Practice*. His creative genius and enduring vision continues to be a motivating force behind the text, and his timeless perspective remains part of the ninth edition. Dr. Benward's significant contributions are respectfully acknowledged, along with the promise that *Music in Theory and Practice* will forever be "The Benward."

Marilyn Saker

INTRODUCTION

The Materials of Music: Sound and Time

Pitch

Topics

SoundToneBeatVibrationIntensityRhythmCompressionAcousticsTimbreRarefactionDecibelsHarmonic SeriesFrequencyDurationPartials

Meter

The basic materials of music are sound and time. When you play an instrument or sing, you are producing sounds, so it is important that you thoroughly understand these basic materials. Sounds are used to structure time in music. Time occurs in the duration of the sounds and the silences between sounds. This book is devoted to a study of the complex relationship between these two basic materials.

Fundamental

Sound

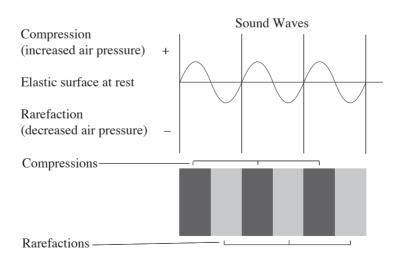
Sound is the sensation perceived by the organs of hearing when vibrations (sound waves) reach the ear.

Vibration

Vibration is the periodic motion of a substance. When you play an instrument, parts of the instrument (the strings, sounding board, etc.) and the air inside and around the instrument vibrate.

Compression and Rarefaction

These terms refer to the alternation of increased (*compression*) and decreased (*rarefaction*) pressure in the air caused by an activated (vibrating) surface or air column. One complete cycle of compression and rarefaction produces a vibration, or sound wave.



Frequency

Frequency refers to the number of compression–rarefaction cycles that occur per unit of time, usually one second. Audible sounds for the human ear range from 20 to 20,000 cycles per second.

The Four Properties of Sound

Sound has four identifiable characteristics or properties: *pitch*, *intensity*, *duration*, and *timbre*. Despite how complicated a composition may be, these four are the only variables with which composers and performers have to work.

Pitch

Pitch is the highness or lowness of a sound. Variations in frequency are what we hear as variations in pitch: The greater the number of sound waves produced per second of an elastic body, the higher the sound we hear; the fewer sound waves per second, the lower the sound.

Tone

A tone is a musical sound of definite pitch.

Intensity

Intensity (amplitude) is heard as the loudness or softness of a pitch. In *acoustics* (the science of sound), intensity is the amount of energy affecting the vibrating body, and the physicist measures intensity on a scale from 0 to 130 in units called *decibels*. In musical notation, gradations of intensity are indicated with the following Italian words and their abbreviations:

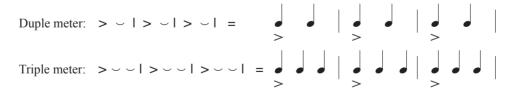
Italian Word	Symbol	Translation	Average Decibels
Pianissimo	pp	Very soft	40
Piano	p	Soft	50
Mezzo piano	mp	Moderately soft	60
Mezzo forte	mf	Moderately loud	70
Forte	f	Loud	80
Fortissimo	ff	Very loud	100

Duration

Duration is the length of time a pitch, or tone, is sounded. For patterns of duration, the following terms are used: *meter* and *rhythm*.

Meter

Meter describes regularly recurring pulses of equal duration, generally grouped into patterns of two, three, four, or more with one of the pulses in each group accented. These patterns of strong (>) and weak (_) pulses are called *beats*. For example:



Duple (two-beat) meter and triple (three-beat) meter are the two basic meters. All other meters result from some combination of these two.

Rhythm

Operating in conjunction with the meter, *rhythm* is a pattern of uneven durations. While the steady beats of the meter combine to form measures, a rhythm may be a pattern of almost any length.



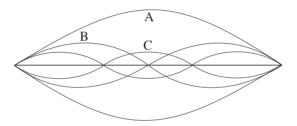
Timbre

Timbre is the tone quality or color of a sound. It is the property of sound that permits us, for instance, to distinguish the difference between the sound of a clarinet and an oboe.

This sound quality is determined by the shape of the vibrating body, its material (metal, wood, human tissue), and the method used to put it in motion (striking, bowing, blowing, plucking). It is also the result of the human ear's perception of a series of tones called the harmonic series, which is produced by all instruments.

Harmonic Series

A *harmonic series* includes the various pitches produced simultaneously by a vibrating body. This physical phenomenon results because the body vibrates in sections as well as in a single unit. A string, for example, vibrates along its entire length as well as in halves, thirds, quarters, and so on.



A—String vibrating as a unit

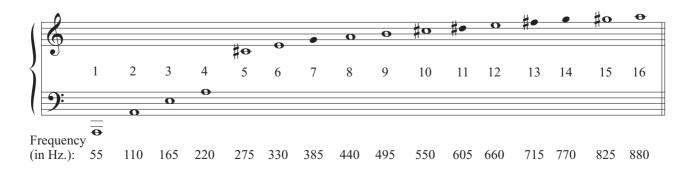
B—String vibrating in halves

C—String vibrating in thirds

Partials

The pitches produced simultaneously by the vibrating sections are called *partials* or *harmonics*. The first partial, often called the *fundamental*, and the series of partials constitute a musical tone. Because the fundamental is the lowest frequency and is also perceived as the loudest, the ear identifies it as the specific pitch of the musical tone.

Although the harmonic series theoretically goes to infinity, there are practical limits; the human ear is insensitive to frequencies above 20,000 Hz. (Hz is the abbreviation for hertz, a standard measurement of frequency expressed in cycles per second.) The following illustration carries the harmonic series of an A fundamental to the sixteenth partial:



The individual partials that make up a musical tone are not distinguished separately but are heard by the human ear as a blend that characterizes timbre.

You may notice that the harmonic series looks very similar to the "open" tones on brass instruments. The brass instruments and some other instruments, such as the woodwinds, are capable of playing various pitches in the harmonic series.

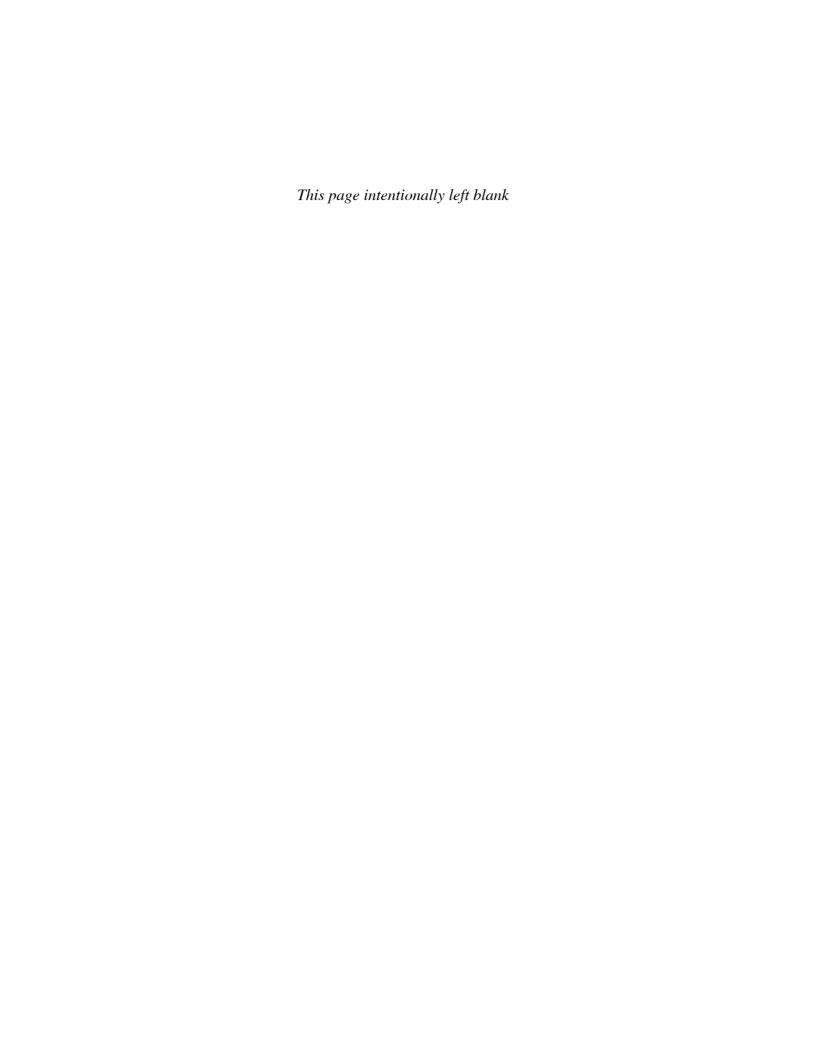
Summary

Music is an art of sound and time, and the basic characteristics of musical tone—pitch, duration, intensity, and timbre—are the fundamental elements. The principal concern in this book is to determine how musical tones interact with each other to produce music.

The Fundamentals of Music

Before you begin your study of the structure of music, you must first understand the notation and basic elements of music: the fundamentals of music. As an experienced musician, you have probably learned many of these concepts in your previous studies. Our purpose here is to present these basic musical facts in a systematic way to aid you in gaining fluency and filling any gaps in your knowledge. Even if you know the materials presented here, we urge you to take this opportunity to practice until you can recall the fundamentals without a moment of hesitation. Your success in understanding the structure of music will depend on this ability.

Our goal in this and the following book is to show you how music is put together. We will deal with a wide variety of music from very early to the most recent, from art music to folk and popular music. As a prelude to this adventure, you must understand in broad terms the history of Western music and see the relationships among the various styles. For this reason we have included a brief overview of music history in Appendix F. We wish you success in your work and hope that you find here the beginning of a lifetime of exciting and serious study of the art of music.



CHAPTER 1

Notation

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Pitch Staff **Letter Names** Clefs Treble Clef **Bass Clef Grand Staff** Middle C **Ledger Lines** C Clef Alto Clef **Tenor Clef** Soprano Clef Mezzo Soprano Clef **Baritone Clef** Octave Identification Accidentals

Sharp Flat Natural Double Sharp **Double Flat** Interval **Enharmonic Equivalents** Half-Step Motion Duration **Breve and Rest** Whole Note and Rest Half Note and Rest **Ouarter Note and Rest Eighth Note and Rest** Sixteenth Note and Rest Thirty-Second Note and Rest

Tie Dot Second Dot Irregular Divisions and Subdivisions Rhythm Pulse or Beat Meter **Meter Signatures** Simple Meter **Compound Meter** Duple, Triple, and **Quadruple Meters Asymmetrical Meter** Syncopation **Dynamic Markings**

Sixty-Fourth Note and Rest

Important Concepts

Music notation is much more precise and complicated than written language. When we notate music, we use symbols that show three of the four properties of sound described in the introduction: pitch and duration are given accurately, and relative intensity is indicated. Furthermore, pitch and duration are shown simultaneously.

Notation of Pitch

The term *pitch* describes the highness or lowness (the frequency) of a tone. In music notation, pitches are represented by symbols positioned on a staff and identified with letter names.

The Staff

The staff consists of five equally spaced horizontal lines.

Figure 1.1

Five lines

Letter Names

The various pitches are referred to by the first seven letters of the alphabet (A B C D E F G), as shown on the piano keyboard in Figure 1.2.

Figure 1.2



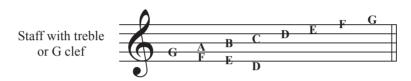
The Clefs

A *clef* is a symbol placed at the beginning of a line of music that establishes the letter names of the lines and spaces of the staff.

Treble Clef (G)

The *treble clef* or *G clef* is an ornate letter G. The curved line terminates at the second line of the staff, thus designating the letter name of a note on that line as G.

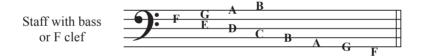
Figure 1.3



Bass Clef (F)

The *bass clef* is called the *F clef* because it was derived from the letter F. The dots are placed above and below the fourth line of the staff, designating that line as F.

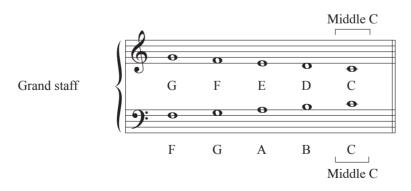
Figure 1.4



Grand Staff

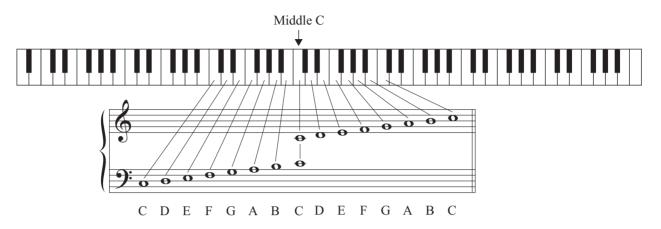
Together, the treble and bass staves make up a *grand staff*. Figure 1.5 shows the point at which both clefs converge. The two Cs are the same pitch: *middle C*.

Figure 1.5



The grand staff is associated most often with keyboard music. Figure 1.6 shows the relationship between the grand staff, the standard 88-key piano keyboard, and middle C.

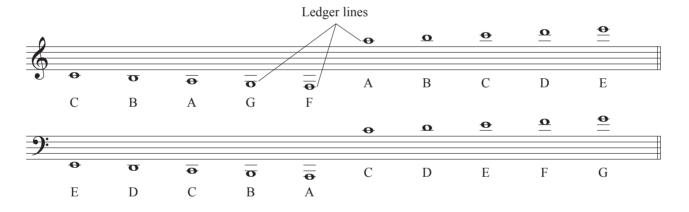
Figure 1.6



Ledger Lines

Pitches that go beyond the limits of the staff are written by adding *ledger lines* above or below the staff. Ledger lines, which parallel the staff, accommodate only one note (see Figure 1.7).

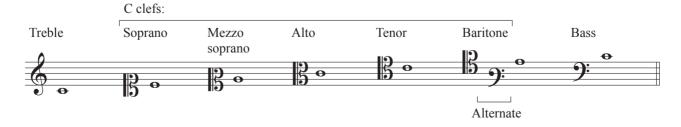
Figure 1.7



C Clef

A *C clef* may be positioned on any line of the staff to designate middle C. This clef is coupled with a set of secondary names that identify each of the possible positions—soprano, mezzo soprano, alto, tenor, and baritone (see Figure 1.8).

Figure 1.8



Alto Clef

The *alto clef* is a C clef that designates the third line of the staff as middle C (see Figure 1.8). It is the standard clef used in music for viola.

Tenor Clef

The *tenor clef* is a C clef that designates the fourth line of the staff as middle C (see Figure 1.8). The tenor clef is occasionally found in music written for cello, bassoon, or trombone.

Soprano, Mezzo Soprano, and Baritone Clefs

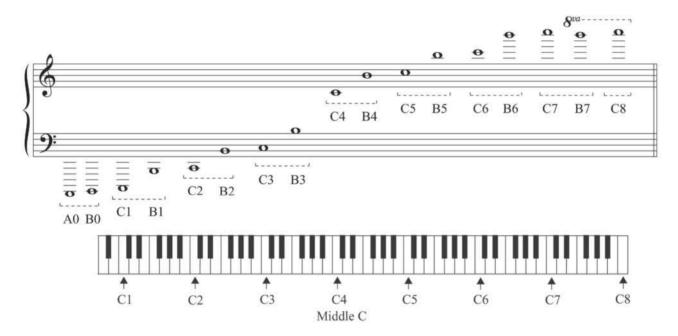
The *soprano*, *mezzo soprano*, and *baritone clefs* are C clefs used less often than the alto and tenor clefs. In each case the line indicated by the notch of the clef is designated as middle C.

Octave Identification

Because the pitch spectrum is so wide, it is often necessary to identify a specific note by the *octave* in which it appears. Thus, middle C is distinguished from any other C in the pitch spectrum by the written designation C4 (see Figure 1.9).

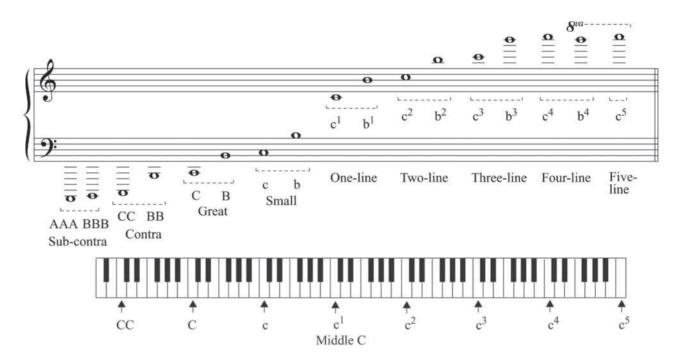
The system of octave identification in Figure 1.9 is recommended by the International Acoustical Society and is used in Braille music notation. Each octave of this system is numbered, beginning with A0 for the lowest note on the piano and extending to C8 for the highest note on the piano. Although the system shown in Figure 1.9 is used throughout this book, your instructor may prefer the system shown in Figure 1.10.

Figure 1.9



The 8va above the right portion of the treble staff in Figure 1.9 means that the pitch sounds an octave above the written note. This symbol is used when a large number of ledger lines make note reading difficult. A related symbol, 8va bassa (the 8va symbol positioned below the bass-clef staff), is used to indicate when a pitch sounds an octave below the written note. Sometimes music copyists use 8vb as a shorthand symbol to represent 8va bassa.

Figure 1.10



The octave identification system in Figure 1.10 is often referred to as the Helmholtz system after the German acoustician who made the system popular. This widely used designation method has been prevalent since the nineteenth century.

Accidentals

Accidentals are symbols that are placed to the left of the noteheads to indicate the raising or lowering of a pitch.

Sharp (#)—raises the pitch a half step.

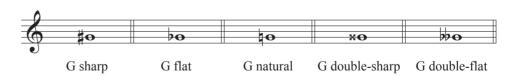
Flat (\flat)—lowers the pitch a half step.

Natural (abla)—cancels any previous sharp or flat and returns to the natural, or unaltered, pitch.

Double Sharp (x)—raises the pitch two half steps.

Double Flat () —lowers the pitch two half steps.

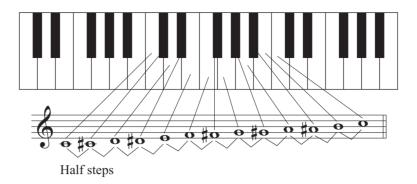
Figure 1.11



Interval

An *interval* is the relationship between two tones. In Western music, the half step is the smallest interval used. It is the interval between any two adjacent keys—black or white—on the keyboard.

Figure 1.12



Enharmonic Equivalents

Enharmonic equivalents are tones that have the same pitch but different letter names.

Figure 1.13



Half-Step Motion

In passages of music involving *half-step motion*, a flatted note is followed most often by a note with a different letter name a half step lower.

Figure 1.14



A sharped note is followed most often by a note with a different letter name a half step higher in passages involving half-step motion.

Figure 1.15



Notation of Duration

Sounds and silences in music are represented by notes and rests. The notation of *duration*—the length of time a note or rest lasts—is illustrated in the following chart:

Figure 1.16

Name	Note	Rest	Equivalents		
Breve (double whole note)	⊨ or o		Two whole notes	o	o
Whole note	o	-	Two half notes	0	
Half note		_	Two quarter notes		
Quarter note			Two eighth notes		
Eighth note	<u></u>	<u> </u>	Two sixteenth notes	J	
Sixteenth note	*		Two thirty-second notes	٦	
Thirty-second note			Two sixty-fourth notes		
Sixty-fourth note			Two one hundred twenty-eighth notes		

The Tie

The *tie* is a curved line that connects two adjacent notes of the same pitch into a single sound with a duration equal to the sum of both note values.

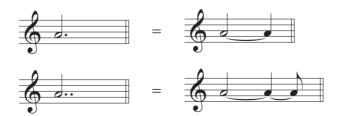
Figure 1.17



The Dot

Placed to the right of a note head, the *dot* lengthens the value of the note by half again its value. A *second dot* lengthens the dotted note value by half the length of the first dot.

Figure 1.18



Dots may also be used with rests and affect them in the same way.